



**IND-EXPO CERTIFICATION LIMITED**

**INTEGRATED MANAGEMENT SYSTEMS CERTIFICATION SCHEME**

**STAGE I AUDIT REPORT**

**ISO 22000:2018**

**IVY VALLEY TEA FACTORY**



**INDEXPO CERTIFICATION LIMITED**  
**MANAGEMENT SYSTEMS CERTIFICATION SCHEME**  
**STAGE I AUDIT REPORT –ISO 22000:2018**

**1. NAME OF ORGANIZATION :** Ivy Valley Tea Factory

**2. ADDRESS OF HEAD OFFICE :** Ihala Lelwala, Waduramba

**3. FACTORY/LOCATIONS/SITES/OUTLETS :** Same as 2

**4. CONTACT DETAILS**

**4.1 Name :** Mr. I.V.A. Goonethilake

**Designation :** Managing Director

**4.2 Tel :** - **Mob:** 077-7765953 **Fax :** -

**E-mail :** ivo@ivyvalley.lk

**5. APPLICABLE STANDARD :** ISO 22000:2018

**6. FILE NO. :**

**7. SCOPE OF CERTIFICATION :** Activities pertaining to manufacturing of black tea

**8. APPLICABLE SECTOR :** C IV

**10. NO. OF EMPLOYEES :** 50

**11. DATE OF AUDIT :** 2021/07/12

**12. TYPE OF AUDIT :** Stage I

**13. AUDIT TEAM :**

Ms. Buddhika Sajeewani (BS) Team Leader

Doc. No.: QP-05-F-03

Revision No:00

Reviewed and approved by : Director

Issue No. : 09

Issue Date : 2016-08-01

Revision Date: 2019-06-01

Issued by : Management Representative

**INDEXPO CERTIFICATION LIMITED  
MANAGEMENT SYSTEMS CERTIFICATION SCHEME  
STAGE I AUDIT REPORT –ISO 22000:2018**

**14. AUDIT OBJECTIVES:**

The objectives of this audit were:

- to confirm that the management system has been planned to conform with all the requirements of the audit standard including availability of documented information required;
- to understand the scope of the management system of the client with regards to operational sites, processes, equipment and the complexity of the operation(levels of controls established particularly in case of multiple site), applicable statutory, regulatory and contractual requirements;
- to confirm the readiness and planned arrangements for the Stage 2 audit.

**15. FINDINGS OF THE AUDIT:**

SERIAL NO.	RELEVANT CLAUSE IN ISO 22000:2018	FINDING	REMARKS
1	7.2 d	Training evaluation has not been conducted	
2	8.2.4 a	Roof was damaged close to the winnower	
3	8.2.4 h	Open glass bulbs were observed in drying, bulking sections.	
4	8.2.4 d	It was observed that open drainage line in the fermenting area	
5	8.2.4 h	Cob webs were observed in certain places	
6	8.2.4.f	Food grade declaration for packing materials is not available at the time of audit.	
7	8.8.1	Product testing has not been conducted	

**16. ANY DEVIATIONS FROM THE AUDIT PLAN AND REASONS:** None

**17. ANY SIGNIFICANT ISSUES IMPACTING ON THE AUDIT PROGRAMME:** None

Doc. No.: QP-05-F-03  
Revision No:00  
Reviewed and approved by : Director

Issue No. : 09

Issue Date : 2016-08-01  
Revision Date: 2019-06-01  
Issued by : Management Representative



**INDEXPO CERTIFICATION LIMITED**  
**MANAGEMENT SYSTEMS CERTIFICATION SCHEME**  
**STAGE I AUDIT REPORT –ISO 22000:2018**

**18. OVERALL CONCLUSIONS:**

- The Stage 1 audit was successful in meeting the stated objectives: YES  NO   
If NO please mention the reasons:

Audit has been carried out on sample basis and the available information. consideration to the findings identified on the report the overall conclusions of the audit are as follow:


- The management system has been planned to conform with all the requirements of the audit standard: YES  NO
- The management system is designed to achieve the organization's policy and food safety objectives: YES  NO
- Based on the information provided, the system is designed to identify and manage compliance with statutory, regulatory and contractual requirements: YES  NO
- The Prerequisite programs (PRP(s)) are sufficiently established: YES  NO
- Methodology and parameters used for selection/categorization between OPRP and CCP are specified in documents and monitoring record are available: YES  NO
- Verification, validation of appropriate controls have been done: YES  NO
- The internal audit and management review planning assure appropriate coverage prior to the Stage 2 Audit: YES  NO
- Appropriateness of the certification scope: YES  NO

Signature of Team Leader : ..... Date:.....

- Page 04 of 04 is for internal purposes only.

**1. RECOMMENDATION BY AUDIT TEAM :**

Recommended for Stage 2 audit.

  
.....  
Signature of Team Leader

12.07.2021  
.....  
Date

.....  
Signature of Team Member -1

.....  
Date

.....  
Signature of Team Member - 2

.....  
Date

**2. RECOMMENDATION BY CERTIFICATION MANAGER :**

Recommended for stage II audit

  
.....  
Signature of Certification Manager

2021.11.05  
.....  
Date

